

Financial Disclosures

New Filer Report for 09/05/2018

The Honorable Jon Kyl (Kyl, Jon)

Filed 01/03/2019 @ 8:08 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an electronic amendment to this report.

☐ I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Board Compensation	National Technology and Engineering Solutions of Sandia, LLC Albuquerque, New Mexico	\$26,500.00
2	Self	Salary	Arizona State University (ASU) Tempe, Arizona	\$128,912.89
3	Self	Salary	Covington & Burling, LLP Washington, District of Columbia	\$1,859,852.82

4	Who Self Was	Retirement	Wells Fargo Advisors (IRA Distribution)	\$33,365.05
#	Paid	Type	St. Louis, Missouri	Amount Paid

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Wells Fargo Advisors IRA - Clearbridge Managed Equities	Retirement Plans IRA	Self			
1.1	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.2	<u>ALXN</u> - Alexion Pharmaceuticals, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.3	<u>AGN</u> - Allergan plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.4	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.5	<u>AMZN</u> - Amazon.com, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
1.6	<u>AMCX</u> - AMC Networks Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.7	<u>AXP</u> - American Express Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

1.8	<u>APC</u> - Anadarko Petroleum Corporation Asset	Corporate Securities Asset Type Stock	Self Owner	\$1,001 - \$15,000 Value	Dividends, Income Type	None (or less than \$201) Income
1.9	<u>BUD</u> - Anheuser-Busch InBev SA/NV	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.10	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.11	<u>ADSK</u> - Autodesk, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.12	<u>BIIB</u> - Biogen Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.13	<u>BLK</u> - BlackRock, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.14	<u>AVGO</u> - Broadcom Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.15	<u>CAT</u> - Caterpillar Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.16	<u>CELG</u> - Celgene Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.17	<u>CMG</u> - Chipotle Mexican Grill, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.18	<u>CTXS</u>-Citrix Systems, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.19	<u>KO</u> - The Coca-Cola Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

1.20	<u>CMCSA</u> - Comcast Corporation Asset	Corporate Securities Asset Type Stock	Self Owner	\$1,001 - \$15,000 Value	Dividends, Income Type	\$201 - \$1,000 Income
1.21	<u>COST</u> - Costco Wholesale Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.22	<u>CREE</u>-Cree, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.23	<u>XRAY</u> - DENTSPLY SIRONA Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.24	<u>DISCA</u> - Discovery, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.25	<u>DIS</u> - The Walt Disney Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.26	<u>DLB</u> - Dolby Laboratories, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.27	<u>ECL</u> - Ecolab Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.28	<u>FB</u> - Facebook, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.29	<u>FLR</u> - Fluor Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.30	<u>FCX</u> - Freeport-McMoRan Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.31	<u>GWW</u> - W.W. Grainger, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

1.32	<u>HD</u> - The Home Depot, Inc. Asset	Corporate Securities Asset Type Stock	Self Owner	\$1,001 - \$15,000 Value	Dividends, Income Type	None (or less than \$201) Income
1.33	<u>HON</u> - Honeywell International Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.34	<u>IONS</u> - Ionis Pharmaceuticals, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.35	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.36	<u>JCI</u> - Johnson Controls International plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.37	<u>LSXMK</u>-The Liberty SiriusXM Group	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.38	<u>LLL</u> - L3 Technologies, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.39	<u>MKC</u> - McCormick & Company, Incorporated	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.40	<u>MDT</u> - Medtronic plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.41	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.42	<u>NUAN</u>-Nuance Communications, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.43	<u>NUE</u> - Nucor Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

1.44	<u>ORCL</u> - Oracle Corporation Asset	Corporate Securities Asset Type Stock	Self Owner	\$1,001 - \$15,000 Value	Dividends, Income Type	None (or less than \$201) Income
1.45	<u>PANW</u> - Palo Alto Networks, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.46	<u>PYPL</u> - PayPal Holdings, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.47	<u>PXD</u> - Pioneer Natural Resources Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.48	<u>PX</u> - Praxair, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.49	<u>QCOM</u> - QUALCOMM Incorporated	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.50	<u>QRTEA</u> - Qurate Retail, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.51	<u>RHT</u> - Red Hat, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.52	<u>REGN</u> - Regeneron Pharmaceuticals, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.53	<u>SLB</u>-Schlumberger Limited	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.54	<u>SCHW</u> - The Charles Schwab Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.55	<u>STX</u> - Seagate Technology plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000

1.56	<u>SPLK</u> - Splunk Inc. Asset	Corporate Securities Asset Type Stock	Self Owner	\$1,001 - \$15,000 Value	None, Income Type	None (or less than \$201) Income
1.57	<u>TEL</u> - TE Connectivity Ltd.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.58	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.59	<u>TMO</u> - Thermo Fisher Scientific Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None, Dividends,	None (or less than \$201)
1.60	<u>TWTR</u> - Twitter, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.61	<u>UPS</u> - United Parcel Service, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.62	<u>UNH</u> - UnitedHealth Group Incorporated	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
1.63	<u>VRTX</u> - Vertex Pharmaceuticals Incorporated	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.64	<u>V</u> - Visa Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.65	<u>VMW</u> - VMware, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.66	<u>WFT</u> - Weatherford International plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.67	<u>YUMC</u> - Yum China Holdings, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

1.68	Wells Fargo Bank, N.A. (San Francisco, CA) Asset Type>Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest, Income Type	None (or less than \$201)
		Asset Type	Owner	Value		Income
1.69	AKAM - Akamai Technologies, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.70	GOOG - Alphabet Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
1.71	EQIX - Equinix, Inc. (REIT)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.72	WDC - Western Digital Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
1.73	ZTS - Zoetis Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
2	Charles Schwab 401K	Retirement Plans Defined Contribution Pension Plan	Self			
2.1	The Schwab Managed Retirement Trust 2010 - Class V	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
3	Bank of America (Phoenix, Arizona) Type>Savings,	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	\$201 - \$1,000
4	Bank of America (Arlington, Virginia) Type>Checking,	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
5	Wells Fargo Advisors (IRA)	Retirement Plans IRA	Self			
5.1	CVTCX-Calamos Growth & Income C	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)

5.2	FNICX-Fidelity Advisor New Insights C Asset	Mutual Funds Mutual Fund Asset Type	Self Owner	\$100,001 - \$250,000	None, Income Type	None (or less than \$201) Income
5.3	IGNCX-Ivy Natural Resources C	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
5.4	WSTCX-Ivy Science And Technology C	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
5.5	SLMCX-Columbia Seligman Comms & Info A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.6	SCICX-Columbia Seligman Comms & Info C	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.7	PNRCX-PGIM Jennison Natural Resources C	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6	Wells Fargo Advisors - Kyl Family Revocable Trust (Account #1)	Trust General Trust	Joint			
6.1	Wells Fargo (San Francisco, CA) Tyqè>Brokerage Sweep Account,	Bank Deposit	Joint	None (or less than \$1,001)	Interest,	None (or less than \$201)
6.2	<u>WLTX</u> - Ivy Limited-Term Bond A	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
6.3	<u>MDLOX</u> - BlackRock Global Allocation Inv A	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.4	SLMCX-Columbia Seligman Comms & Info A	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.5	<u>SHGTX</u> - Columbia Seligman Global Tech A	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.6	<u>EAAFX</u> - Wells Fargo Asset Allocation A	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Dividends, Capital Gains,	\$5,001 - \$15,000

6.7	IGNCX - Ivy Natural Resources C Asset	Mutual Funds Mutual Fund Asset Type	Joint Owner	\$15,001 - \$50,000 Value	Dividends, Income Type, Capital Gains,	None (or less than \$201) Income
6.8	SCICX - Columbia Seligman Comms & Info C	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
7	Wells Fargo Advisors - Kyl Family Revocable Trust (Account #2)	Trust General Trust	Joint			
7.1	Phoenix AZ General Obligation Raṭè-Ėđúqđň>5% Maṭúřès>07/01/23	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.2	Maricopa county AZ School Deer Valley General Obligation Raṭè-Ėđúqđň>2.75% Maṭúřès>07/01/2025	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.3	Tempe AZ General Obligation Raṭè-Ėđúqđň>3% Maṭúřès>07/01/2026	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.4	Maricopa County School Mesa General Obligation Raṭè-Ėđúqđň>3% Maṭúřès>07/01/2024	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.5	US Treasury Notes (Coupon: 1.25%; Due: 01/31/2019) Raṭè-Ėđúqđň>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.6	US Treasury Notes (Coupon: 1.125%; Due: 12/31/2019) Raṭè-Ėđúqđň>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

7.7	US Treasury Notes (Coupon: 1.375%; Due: 01/31/2020) Raṭè-Ğǿúqǿñ>None Maṭúřès>None	Government Securities Asset Type US Treasury/Agency Security	Joint Owner	\$15,001 - \$50,000 Value	Interest, Income Type	\$201 - \$1,000 Income
7.8	US Treasury Notes (Coupon: 1.625%; Due: 06/30/2020) Raṭè-Ğǿúqǿñ>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
7.9	US Treasury Notes (Coupon: 2%; Due: 02/28/2021) Raṭè-Ğǿúqǿñ>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.10	US Treasury Notes (Coupon: 2%; Due: 10/31/2021) Raṭè-Ğǿúqǿñ>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.11	US Treasury Notes (Coupon: 2%; Due: 02/15/2022) Raṭè-Ğǿúqǿñ>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.12	US Treasury Notes (Coupon: 1.875%; Due: 05/31/2022) Raṭè-Ğǿúqǿñ>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.13	US Treasury Notes (Coupon: 1.75%; Due: 01/31/2023) Raṭè-Ğǿúqǿñ>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

Asset	Asset Type	Joint Owner	\$15,001 - \$50,000 Value	Interest, Income Type	\$201 - \$1,000 Income
7.14 US Treasury Notes (Coupon: 1.75%; Due: 05/15/2023) Raṭè-Ėđúqđň>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint Owner	\$15,001 - \$50,000	Interest, Income Type	\$201 - \$1,000 Income
7.15 US Treasury Notes (Coupon: 2.375%; Due: 08/15/2024) Raṭè-Ėđúqđň>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.16 US Treasury Notes (Coupon: 2%; Due: 08/15/2025) Raṭè-Ėđúqđň>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.17 US Treasury Notes (Coupon: 1.5%; Due: 08/15/2026) Raṭè-Ėđúqđň>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.18 US Treasury Bonds (Coupon: 6.625%; Due: 02/15/2027) Raṭè-Ėđúqđň>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
7.19 US Treasury Bonds (Coupon: 6.375%; Due: 08/15/2027) Raṭè-Ėđúqđň>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
7.20 US Treasury Bonds (Coupon: 5.25%; Due: 11/15/2028) Raṭè-Ėđúqđň>None Maṭúřès>None	Government Securities US Treasury/Agency Security	Joint	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000

7.21	US Treasury Bonds (Coupon: 5.25%; Due: 02/15/2029) Rate>5.25% Maturity>None	Government Securities Asset Type US Treasury/Agency Security	Joint Owner	\$50,001 - \$100,000 Value	Interest, Income Type	\$2,501 - \$5,000 Income
7.22	GE Capital Retail Bank (Draper, UT) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.23	American Express Centurion Bank (Salt Lake city, UT) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.24	American Express Centurion Bank (Salt Lake city, UT) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.25	Capital One Bank USA (Glen Allen, VA) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.26	Goldman Sachs Bank USA (New York, NY) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.27	GE Capital Retail Bank (Draper, UT) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.28	Goldman Sachs Bank USA (New York, NY) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.29	Goldman Sachs Bank USA (New York, NY) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.30	Discover Bank (Greenwood, DE) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.31	Discover Bank (Greenwood, DE) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500

7.32	Goldman Sachs Bank (New York, NY) Asset Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest, Income Type	\$1,001 - \$2,500
7.33	First Premier Bank (Sioux Falls, ND) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.34	Synchrony Bank (Draper, UT) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.35	Synchrony Bank (Draper, UT) Type>Certificate of Deposit,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
7.36	Discover Bank (Greenwood, DE) Type>Certificate of Deposit,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
7.37	Wells Fargo (San Francisco, CA) Type>Brokerage Sweep Account,	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	\$201 - \$1,000
8	Wells Fargo Advisors (IRA)	Retirement Plans IRA	Spouse			
8.1	<u>VAFAX</u> - Invesco American Franchise A	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000
9	Wells Fargo Advisors IRA - NWQ Managed Equities	Retirement Plans IRA	Self			
9.1	Wells Fargo Bank (San Francisco, California) Type>Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.2	<u>AAP</u> - Advance Auto Parts, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.3	<u>AGN</u> - Allergan plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

9.4	<u>GOOGL</u> - Alphabet Inc. Asset	Corporate Securities Asset Type Stock	Self Owner	\$1,001 - \$15,000 Value	None, Income Type	None (or less than \$201) Income
9.5	<u>AON</u> - Aon plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.6	<u>ARRS</u> - ARRIS International plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.7	<u>BAC</u> - Bank of America Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.8	<u>BIO</u> - Bio-Rad Laboratories, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.9	<u>CBS</u> - CBS Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.10	<u>LNG</u> - Cheniere Energy, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.11	<u>CVX</u> - Chevron Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.12	<u>CIT</u> - CIT Group Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.13	<u>C</u> - Citigroup Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.14	<u>KO</u> - The Coca-Cola Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.15	<u>CLNY</u> - Colony Capital, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

9.16	<u>DAL</u> - Delta Air Lines, Inc. Asset	Corporate Securities Asset Type Stock	Self Owner	\$1,001 - \$15,000 Value	Dividends, Income Type	None (or less than \$201) Income
9.17	<u>DFS</u> - Discover Financial Services	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.18	<u>DWDP</u> - DowDuPont Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.19	<u>FDC</u> - First Data Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.20	<u>FHN</u> - First Horizon National Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.21	<u>FE</u> - FirstEnergy Corp.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.22	<u>FL</u> - Foot Locker, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.23	<u>GM</u> - General Motors Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.24	<u>GILD</u> - Gilead Sciences, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.25	<u>GSK</u> - GlaxoSmithKline plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.26	<u>HES</u> - Hess Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.27	<u>ING</u> - ING Groep N.V.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

9.28	<u>IR</u> - Ingersoll-Rand Plc Asset	Corporate Securities Asset Type Stock	Self Owner	\$1,001 - \$15,000 Value	Dividends, Income Type	None (or less than \$201) Income
9.29	<u>JPM</u> - JPMorgan Chase & Co.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.30	<u>KGfHY</u> - Kingfisher plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.31	<u>L</u> - Loews Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.32	<u>MDR</u> - McDermott International, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.33	<u>NFX</u> - Newfield Exploration Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.34	<u>OXY</u> - Occidental Petroleum Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.35	<u>ORCL</u> - Oracle Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.36	<u>RNR</u> - RenaissanceRe Holdings Ltd.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.37	<u>SLB</u> - Schlumberger Limited	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.38	<u>LUV</u> - Southwest Airlines Co.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.39	<u>SU</u> - Suncor Energy Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

9.40	<u>SYMC</u> - Symantec Corporation Asset	Corporate Securities Asset Type Stock	Self Owner	\$1,001 - \$15,000 Value	Dividends, Income Type	None (or less than \$201) Income
9.41	<u>SYF</u> - Synchrony Financial	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.42	<u>TER</u> - Teradyne, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.43	<u>TRN</u> - Trinity Industries, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.44	<u>UNM</u> - Unum Group	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.45	<u>VIAB</u> - Viacom Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.46	<u>VSAT</u> - Viasat, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.47	<u>WFC</u> - Wells Fargo & Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
9.48	<u>AIG</u> - American International Group, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
10	Wells Fargo Advisors (SEP IRA)	Retirement Plans IRA	Self			
10.1	<u>WARAX</u> - Wells Fargo Absolute Return A	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000
10.2	<u>WMMAX</u> - TETON Westwood Mighty Mites A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

Not required

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel

Not required

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	In-curred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2013	Joint	Mortgage	0	3.625% (30 years)	\$250,001 - \$500,000	Chase Phoenix, AZ	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2013 to Sep 2018	Other (Senior of Counsel)	Covington & Burling LLP Washington, District of Columbia	Firm	None

#	Position Dates	Position Held	Entity	Entity Type	Comments
2	Mar 2013 to Sep 2018	Other (Visiting Fellow)	American Enterprise Institute (AEI) Washington, District of Columbia	Other (Think tank)	This position was uncompensated during the reporting period.
3	Feb 2013 to Sep 2018	Other (Distinguished Fellow in Public Service in the College of Public Service and Community Solutions)	Arizona State University Tempe, Arizona	Educational Organization	None
4	Feb 2013 to Sep 2018	Other (Distinguished Scholar of the Sandra Day O'Connor College of Law)	Arizona State University Tempe, Arizona	Educational Organization	None
5	May 2015 to Nov 2016	Other (Advisor, Accenture Federal Advisory Board)	Accenture Federal Services LLC Arlington, Virginia	Company	None
6	Apr 2017 to Sep 2018	Other (Member, Board of Managers)	National Technology & Engineering Solutions of Sandia, LLC Albuquerque, New Mexico	Company	None
7	Mar 2016 to Sep 2018	Other (Member, National Advisory Council)	U.S. Global Leadership Coalition (USGLC) Washington, District of Columbia	Nonprofit Organization	This position was uncompensated.
8	Mar 2016 to Sep 2018	Other (Co-Chair, Arizona Advisory Committee)	U.S. Global Leadership Coalition (USGLC) Washington, District of Columbia	Nonprofit Organization	This position was uncompensated.
9	Apr 2013 to Sep 2018	Other (Board Member)	Prosper, Inc. Phoenix, Arizona	Educational Organization	This position was uncompensated.
10	Nov 2014 to Sep 2018	Other (Advisory Board Member)	Kyl Center for Water Policy, Arizona State University Morrison Institute for Public Policy Phoenix, Arizona	Educational Organization	This position was uncompensated.

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Mar 2013	Covington & Burling (401K) Washington, District of Columbia	Continuing participation in an employee benefit plan	401 K. I am no longer contributing to this plan and my former employer is no longer contributing to this plan. (See "Charles Schwab 401K" reported in Section 3.)

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **Yes**

#	Source	Duties
1	Arizona State University Tempe, Arizona	Taught both undergraduate and law school classes.
2	Accenture Federal Advisory Board Arlington, Virginia	Advisory board member. (My service ended in 2016, therefore compensation not reported in Section 2.)
3	National Technology and Engineering Solutions of Sandia, LLC Albuquerque, New Mexico	Served as a member of the Board of Managers (Directors).
4	Covington & Burling LLP Washington, District of Columbia	Provided advice/legal services to firm clients. (The names of 9 clients are withheld. See Comments.)
5	American Council on Education (client of Covington & Burling, LLP) Washington, District of Columbia	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
6	Anheuser-Busch InBev Services, LLC (client of Covington & Burling, LLP) New York, New York	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
7	Arizona Public Service/Pinnacle West Capital Corporation (client of Covington & Burling, LLP) Phoenix, AZ	Provided legal services and advice. (Payment made to Covington, not directly to me.)

#	Source	Duties
8	Celgene Corporation (client of Covington & Burling, LLP) Summit, New Jersey	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
9	Coalition for American Retirement, LLC (client of Covington & Burling, LLP) Washington, District of Columbia	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
10	Facebook, Inc. (client of Covington & Burling, LLP) Menlo Park, California	Provided legal services and advice. (Payment made to Covington, not directly to me.)
11	Freeport-McMoRan Inc. (client of Covington & Burling, LLP) Phoenix, Arizona	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
12	Georgetown University (client of Covington & Burling, LLP) Washington, District of Columbia	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
13	Great Lakes Dredge and Dock Company, LLC (client of Covington & Burling, LLP) Oak Brook, Illinois	Provided legal services and advice. (Payment made to Covington, not directly to me.)
14	H&R Block (client of Covington & Burling, LLP) Kansas City, Missouri	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
15	Judicial Crisis Network (client of Covington & Burling, LLP) Washington, District of Columbia	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
16	JW Aluminum Company (client of Covington & Burling, LLP) Goose Creek, South Carolina	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
17	Merck & Co., Inc. (client of Covington & Burling, LLP) Kenilworth, New Jersey	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
18	MGM Resorts International (client of Covington & Burling, LLP) Las Vegas, Nevada	Provided legal services and advice. (Payment made to Covington, not directly to me.)
19	Mr. Donald E. Graham (client of Covington & Burling, LLP) Arlington, Virginia	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
20	Mr. Gordon Sondland (client of Covington & Burling, LLP) Seattle, Washington	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)

21 #	Source	Duties
	Northrop Grumman Corporation (client of Covington & Burling, LLP) Falls Church, Virginia	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
22	Pharmaceutical Research and Manufacturers of America (client of Covington & Burling, LLP) Washington, District of Columbia	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
23	QUALCOMM, Inc. (client of Covington & Burling, LLP) San Diego, California	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
24	Republic Services, Inc. (client of Covington & Burling, LLP) Phoenix, Arizona	Provided legal services and advice. (Payment made to Covington, not directly to me.)
25	Salt River Project Agricultural Improvement and Power District/Salt River Valley Water Users' Association (client of Covington & Burling, LLP) Tempe, Arizona	Provided legal services and advice. (Payment made to Covington, not directly to me.)
26	SAP America, Inc. (client of Covington & Burling, LLP) Newtown Square, Pennsylvania	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)
27	Walmart Inc. (client of Covington & Burling, LLP) Bentonville, Arkansas	Provided legal/advocacy services and advice. (Payment made to Covington, not directly to me.)

Attachments & Comments

No attachments added»

Comments

The totals reported in Section 2 represent the income amounts for 2017 and 2018 combined. The identity of 9 clients is withheld from Section 10 under Rule 1.6 of the DC Bar Rules of Professional Conduct.

